



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

JAMES D. LYLE

Application No. 09/945,278

Filed: August 31, 2001

For: **METHOD AND APPARATUS FOR
ENCRYPTING DATA TRANSMITTED
OVER A SERIAL LINK**

Group Art Unit: 2131

Examiner: Unknown

PRELIMINARY AMENDMENT

400 Montgomery Street, Suite 1110
San Francisco, CA 94104
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on October 17, 2001.

GIRARD & EQUITZ LLP

Date: 10/17/01

By: *Marsha A. Townsend*

Marsha A. Townsend

Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a preliminary amendment in the referenced application. Please amend the application as follows.

IN THE CLAIMS:

Cancel claim 61.

Add the following new claims:

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62. (New) A system, including:

at least one transmitter and at least one receiver configured to implement a content protection protocol;

a serial communication link between the transmitter and the receiver, wherein the protocol requires that the receiver send authorization data to the transmitter over the link during an authentication procedure, and the protocol requires the transmitter to prevent

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successful completion of the authorization procedure when the authorization data sent to the transmitter includes at least one revocation value; and

a) an agent which stores a revocation list including each said revocation value, wherein the agent is external to both the transmitter and the receiver, and the transmitter and the agent are configured to implement a communication exchange in which the transmitter sends to the agent a first signal indicative of at least a portion of the authorization data received from the receiver, and the agent responds to the first signal by sending to the transmitter a second signal indicative of whether the authorization data indicated by the first signal is indicative of any revocation value included in the revocation list.

63. (New) The system of claim 62, wherein the transmitter is configured to send the first signal to the agent over the internet, and the agent is configured to send the second signal to the transmitter over the internet.

Respectfully submitted,

GIRARD & EQUITZ LLP

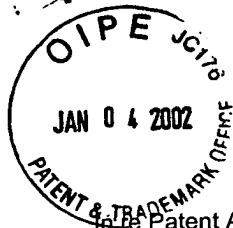
Dated: 10/16/01

By: Alfred A. Equitz

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Attorney Docket No. SII-600



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Patent Application of: JAMES D. LYLE

Attorney's Docket No. SII-600

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Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith is a **Preliminary Amendment** in the above-identified application.

The fee has been calculated as shown below.

	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra	Rate	Additional Fee
Total	62	61	1	\$18	\$18.00
Independent	39	39	0	\$84	\$
<input type="checkbox"/> First Presentation of Multiple Dependent Claims				\$280	\$
Total					\$18.00
<input type="checkbox"/> Small Entity 50 percent Filing Fee Reduction (if applicable)					\$

- ☐ No additional fee is required.
- ☒ A check in the amount of \$18.00 is attached.
- ☒ Please charge any additional fees, including any fees necessary for extensions of time, or credit overpayment to Deposit Account No. 50-1697. **A duplicate copy of this sheet is enclosed.**
- ☐ Petition for extension of time. The undersigned attorney of record hereby petitions for an extension of time pursuant to 37 C.F.R. § 1.136(a), as may be required, to file this response.

GIRARD & EQUITZ LLP

October 16, 2001
Date

By: Alfred A. Equitz
Alfred A. Equitz
Registration Number 30,922
Attorney(s) or Agent(s) of Record

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Dated: OCTOBER 17, 2001

By: Marsha A. Townsend
Marsha A. Townsend

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